

Florida Department of Transportation



Office of Inspector General

Our Mission - Promote integrity, accountability and process improvement in the Department of Transportation by providing objective fact-based assessments to the DOT Team.



Office of Inspector General

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Standards

Audits and investigations are conducted in accordance with applicable:

- *Government Auditing Standards*, also referred to as Yellow Book, issued by the Comptroller General of the United States.
- *International Standards for the Professional Practice of Internal Auditing*, also referred to as Red Book, published by the Institute of Internal Auditors.
- *Principles and Standards for Offices of Inspector General*, also referred to as Green Book, published by the Association of Inspectors General.
- *Information Systems Auditing Standards*, published by ISACA.



Call when you . . .

- think someone is using FDOT property or people for personal gain.
- think someone is intentionally misleading FDOT for financial gain.
- think someone is receiving a benefit to "look the other way."
- need to report your suspicions of fraud or abuse.



Online Reporting Form

<http://www.fdot.gov/ig/reportfraud>

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Overview

The Inspector General Act of 1994 created an Office of Inspector General in each state agency “as a central point for coordination of and responsibility for activities that promote accountability, integrity, and efficiency in government.”

Under the guidance of the Inspector General (IG), this office performs audits, investigations and other engagements “for the purpose of promoting economy and efficiency in the administration of, or preventing and detecting fraud and abuse in, its programs and operations.”

Our Vision

Our vision is to be championed by our customers, benchmarked by our counterparts and dedicated to quality in our products and services.

Our Mission

The mission of the Office of Inspector General is to promote integrity, accountability and process improvement in the Department of Transportation by providing objective fact-based assessments to the Florida Department of Transportation (FDOT) Team.

Our Values

The OIG has adopted the department values of: Integrity, Respect, Commitment, One FDOT, Trust and Customer Driven.

Inspector General

Under the Florida Inspector General Act, Section 20.055, Florida Statutes, the IG’s responsibilities include the following major activities:

- Direct, supervise and coordinate audits, investigations and management reviews;
- Promote economy and efficiency; prevent and/or detect fraud and abuse;

- Recommend corrective action concerning fraud, abuses, weaknesses and deficiencies;
- Report expeditiously to the appropriate law enforcement agency whenever the IG has reasonable grounds to believe there has been a violation of criminal law; and
- Advise in the development of performance measures, standards and procedures for evaluating agency programs.

Another function of the IG is to ensure an appropriate balance between audit, investigative and other accountability activities. The office is comprised of three sections: Audit, Investigations and Quality Assurance & Operations Support.

Audit

The mission of the audit section is to promote integrity, accountability and process improvement by providing objective, timely and value-added audit services. The Audit Section provides independent appraisals of the performance of department programs and processes, including the appraisal of management’s performance in meeting the department’s information needs while safeguarding its resources.

The Audit Section ensures costs proposed and charged to the Department through contracts and agreements with external entities are accurate, reasonable and comply with applicable federal and state procurement regulations. Three units comprise the section: Contract Audit, Intermodal Audit and Performance and Information Technology Audit.

Investigations

The mission of the investigations section is to deter, detect and investigate crimes or misconduct impacting the department. The focus of the investigations section is to pursue any attempt by department employees, members of the public, contractors, subcontractors, or intermediaries to gain financial or other benefit to which they are not properly entitled. The section also investigates allegations of employee misconduct. These administrative and criminal investigations typically involve reports of conflict of interest, theft and improper use of department resources.

Quality Assurance & Operations Support

The mission of the QAOS section is to ensure quality audit and investigative products that fully comply with all professional and office standards and support the operations of the Office of Inspector General. This section provides office-wide support by performing quality reviews, production management, publication of the annual report and updating processes and procedures. The QAOS section is also responsible for coordinating administrative and technical needs of the office.

External Audits

The mission of External Audits is to coordinate and manage the audit recommendations, follow-up and reporting process to ensure compliance with statutory requirements and department procedures. This includes entry, tracking and reporting of open audit recommendations in the Report and Management System (RAMS); facilitates communication and coordination with external agencies performing audits of the department; manages the audit manual update and review process; and performs procedure reviews, special projects as directed by the IG and/or Director of Audit.